



1754

PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

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Total Number of Pages in This Submission 4*

| | |
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| Application Number | 10/527,633 |
| Filing Date | October 7, 2005 |
| First Named Inventor | Martyn Vincent Twigg |
| Art Unit | 1754 |
| Examiner Name | Paul A. Wartalowicz |
| Attorney Docket No. | JMYT-348US |

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
 - ☐ Landscape Table on CD

- ☐ After Allowance Communication
to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below):
 - Copy of two (2) references
 - Copy of Chinese Office Action and
English translation thereof
 - Return Postcard

Remarks:

*The total number of pages indicated above does not include the number of pages in the references submitted along with the Information Disclosure Statement.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | |
|--------------|----------------------|
| Firm Name | RatnerPrestia |
| Signature | |
| Printed Name | Christopher R. Lewis |
| Date | September 5, 2007 |

| | |
|------------------|--------|
| Registration No. | 36,201 |
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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|-----------------------|--------------|------|-------------------|
| Signature | | Date | September 5, 2007 |
| Typed or Printed Name | Lisa Bennett | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/527,633
Applicant: Martyn Vincent Twigg et al.
Filed: October 7, 2005
Title: PROCESS FOR TREATING COMPRESSION IGNITION ENGINE
EXHAUST GAS
TC/A.U.: 1754
Examiner: Paul A. Wartalowicz

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08A form submitted herewith. A copy of each reference listed on the PTO/SB/08A form, other than the U.S. patent, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

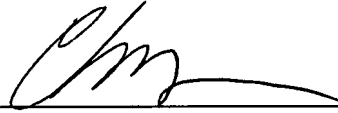
In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

British Patent No. 2 290 488 is an English language equivalent of Japanese Patent No. 8-14029, which was submitted to the U.S. Patent and Trademark Office on October 7, 2005, along with an Information Disclosure Statement.

This Information Disclosure Statement is being filed before mailing of the first Official Action on the merits. No first Official Action on the merits has yet been received and it

is presumed that none has yet been mailed. Therefore, no fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Christopher R. Lewis, Reg. No. 36,201
Attorney for Applicants

CRL/lrb

Enclosures: PTO/SB/08A
Copy of two (2) references
Copy of Chinese Office Action and the English translation thereof

Dated: September 5, 2007


P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

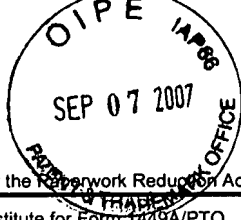
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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 1

Complete if Known

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|----------------------|----------------------|
| Application Number | 10/527,633 |
| Filing Date | October 7, 2005 |
| First Named Inventor | Martyn Vincent Twigg |
| Art Unit | 1754 |
| Examiner Name | Paul A. Wartalowicz |
| Attorney Docket No. | JMYT-348US |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|----------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| | 1 | US-6,756,338-B2 | 06-29-2004 | Koo et al. | |
| | 2 | US-2004/0065078-A1 | 04-08-2004 | Schafer-Sindlinger et al. | |
| | 3 | US-6,606,856-B1 | 08-19-2003 | Brown et al. | |
| | 4 | US-6,375,910-B1 | 04-23-2002 | Deeba et al. | |
| | 5 | US-5,335,492 | 08-09-1994 | Zirkel | |
| | 6 | US-4,900,517 | 02-13-1990 | Domesle et al. | |
| | 7 | US-4,153,579 | 05-08-1979 | Summers et al. | |
| | 8 | US-4,087,384 | 05-02-1978 | Davis | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|----------------------------------|---|--|--------------------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | 9 | GB-2 290 488-A | 01-03-1996 | Toyota Jidosha Kabushiki Kaisha | | <input type="checkbox"/> |
| | 10 | WO-02/26379-A1 | 04-04-2002 | OMG AG & Co. KG | | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> |
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| | | | | | | <input type="checkbox"/> |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶Applicant is to place a check mark here if English language translation is attached.

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